

CITY OF HAWTHORNE  
INVESTMENT REPORT

		Current Quarter 3/31/2021			Prior Quarter 12/31/2020			Quarter Change	
Investment Type	Issuer	Cost	Market Value	Rate	Cost	Market Value	Rate	Change	% Change
State investment pool	State of California - LAIF	13,190,243	13,190,243	0.44%	13,169,420	13,169,420	0.63%	20,822	0%
Certificates of deposit/U.S Bonds	Multi-Bank Securities, Inc	7,067,617	7,041,530	0.63% to 2.97%	7,099,715	7,096,660	0.64% to 2.95%	-55,130	-1%
Money market funds	Bank of the West	49,764,353	49,764,353	0.10%	34,747,741	34,752,156	0.15%	15,012,198	43%
Investment Sweep	Bank of the West	2,552,339	2,552,339	0.01%	4,563,576	4,563,576	0.01%	-2,011,237	-44%
Total Held by City		72,574,552	72,548,465		59,580,452	59,581,812		12,966,652	22%
Funds Held by Fiscal Agent:									
Money market funds	U.S. Bank	2,785,230	2,785,230	0.00% to 3.40%	2,283,907	2,283,907	0.00% to 3.40%	501,323	22%
Investment agreements	U.S. Bank	727,125	727,125	2.67%	727,125	727,125	2.67%	0	0%
Money market funds - Mogul Partners	Wells Fargo Bank	70,792	70,792	0.00%	68,984	68,984	0.00%	1,808	3%
Total Held by Fiscal Agent		3,583,146	3,583,146		3,080,015	3,080,015		503,131	16%

In accordance with California Government Code Section 53646, the Treasurer of the City of Hawthorne hereby certifies that the investments reported above comply with the City's adopted Investment Policy and that sufficient investment liquidity and anticipated revenues are available to meet the City's budgeted expenditures for the six months.

MULTI - BANK SECURITIES, INC.  
CURRENT QUARTER  
3/31/2021

	Date of Purchase	Maturity Date	Quantity	Market Price	Market Value	Accrued Interest	Cash/Bank Deposits	Estimated Yield
HSBC Bank Nat'l Association	5/7/2020	5/7/2025	247,000	100.1240	247,306.28	1,266.81		1.29%
Flagstar Bank, Troy, MICH	5/29/2020	5/9/2025	247,000	100.9720	249,403.31	660.47		0.79%
Kemba Finl Credit Union, SH	10/18/2019	10/18/2024	247,000	104.6800	258,559.60	153.95		1.67%
First Natl Bank, Moose Lake, MN	7/18/2018	1/19/2021	0	0	0	0		0.00%
Signature Bank, Toledo, OH	7/25/2018	4/26/2021	247,000	100.2020	247,498.94	115.72		2.84%
Sallie Mae Bank, Salt Lake City, UT	7/3/2018	7/6/2021	247,000	100.7950	248,963.65	1,766.22		2.97%
Ally Bk, Sandy, UT	7/12/2018	7/12/2021	247,000	100.8440	249,084.68	1,583.51		2.97%
American Express Nat'l Bank	7/10/2018	7/12/2021	247,000	100.8440	249,084.68	1,624.11		2.97%
BMW Bk North Amer, Salt Lake City	7/13/2018	7/13/2021	247,000	100.8520	249,104.44	1,563.21		2.97%
Comenity Cap Bk, Salt Lake City, UT	7/16/2018	7/16/2021	247,000	100.8680	249,143.96	304.52		2.97%
Wells Fargo Bk, Sioux Falls, SD	8/3/2016	8/3/2021	245,000	100.4810	246,178.45	300.71		1.59%
Capital One Bank , Glenn Allen, VA	5/17/2017	5/17/2022	27,000	102.5990	27,701.73	237.90		2.33%
Goldman Sachs Bk, New York, NY	7/31/2019	7/29/2022	247,000	102.7190	253,715.93	858.41		2.09%
Synchrony Bank Retail	10/20/2017	10/20/2022	245,000	103.4510	253,454.95	2,555.38		2.27%
Wells Fargo Bk, West Las Vegas, Ne	1/17/2020	1/17/2023	247,000	103.1510	254,782.97	180.01		1.84%
Morgan Stanley Private Bk, Pur, NY	8/1/2019	8/1/2023	247,000	104.5260	258,179.22	843.86		2.05%
Merrick Bk, South Jordan, UT	1/31/2020	1/31/2024	247,000	104.1180	257,171.46	0.00		1.68%
Capital One Bank , McLean, VA	7/31/2019	7/31/2024	247,000	106.1980	262,309.06	898.34		2.11%
Live Oak Bkg Co., Wilmington, NC	1/24/2020	1/20/2025	247,000	105.2010	259,846.47	375.58		1.75%
State Bk India, New York, NY	1/22/2020	1/22/2025	247,000	105.7690	261,249.43	920.33		1.89%
Enerbank USA, Salt Lake City, UT	3/13/2020	3/13/2025	247,000	102.3540	252,814.38	133.99		1.07%
Morgan Stanley, Salt Lake City, UT	6/19/2020	6/19/2025	247,000	100.5740	248,417.78	52.78		0.64%
Bank Baroda, NY	7/22/2020	7/22/2025	247,000	100.3660	247,904.02	322.12		0.69%
GreenState Cr Un, Iowa SH	3/30/2021	3/30/2026	249,000	99.7600	248,402.40	5.46		0.80%
<b>Total Certificates of Deposit</b>			5,459,000		5,580,278	16,723		
Federal National MTG Corp	7/15/2020	7/15/2025	247,000	99.5140	245,799.58	380.65		0.73%
Federal Home LN MTG Corp	7/21/2020	7/21/2025	494,000	99.5380	491,717.72	653.18		0.68%
Federal Home LN Bks	1/22/2021	1/22/2026	245,000	98.0630	240,254.35	293.49		0.63%
Bank of America Corp	10/30/2020	10/30/2025	500,000	95.6600	478,300.00	625.00		0.78%
<b>Total U.S Gov't and Corporate Bonds</b>			1,486,000		1,456,072	1,952		
<b>Total Portfolio Holdings</b>			6,945,000.00		7,036,349.44	18,675.71	5,180.36	

SUMMARY OF CASH WITH FISCAL AGENT  
CURRENT QUARTER  
03/31/2021

		Date of Purchase	Maturity Date	Coupon	Cost	Market Value	Investment Agreement	Rate
<b>City</b>	<u>1997 Certificate of Participation</u>							
	Money Market	Various	Daily liquid	0.01%	514,295.65	514,295.65		0.01%
	Morgan Guaranty Trust Co - Reserve Fund	12/19/1997	7/1/2022	2.67%			727,125.00	2.67%
	Total				514,295.65	514,295.65	727,125.00	
<b>City</b>	<u>2011 Public Financing Authority</u>							
	Hawthorne Ca Ctfs Partn Cap Impt	Various	Daily liquid	0.00%	6,093.25	6,093.25		0.01%
	Money Market	Various	Daily liquid	0.01%	30,503.36	30,503.36		0.01%
	Total				36,596.61	36,596.61	0.00	
<b>City</b>	<u>2016 Certificates of Participation</u>							
	Money Market	Various	Daily liquid	0.00%	1.07	1.07		0.00%
	Total				1.07	1.07	0.00	
<b>City</b>	<u>2019 Pension Obligation Bond</u>							
	Money Market	Various	Daily liquid	0.00%	0.82	0.82		0.00%
	Money Market	Various	Daily liquid	0.00%	0.27	0.27		0.00%
	Money Market	Various	Daily liquid	0.00%	1.84	1.84		0.00%
	Total				2.93	2.93	0.00	
<b>S.A</b>	<u>2016 Tax Allocation Refunding Bonds</u>							
	Money Market	Various	Daily liquid	0.00%	1.00	1.00		0.00%
	Money Market	Various	Daily liquid	0.00%	0.64	0.64		0.00%
	Money Market	Various	Daily liquid	0.00%	1.75	1.75		0.00%
					3.39	3.39	0.00	
<b>CFD 1999-1</b>	<u>2000 Gateway Center Special Fund</u>							
	Money Market	Various	Daily liquid	0.01%	17,117.55	17,117.55		0.01%
	Money Market	Various	Daily liquid	0.00%	0.03	0.03		0.00%
	Money Market	Various	Daily liquid	0.01%	287,567.89	287,567.89		0.01%
	Money Market	Various	Daily liquid	0.01%	69,410.13	69,410.13		0.01%
	Money Market	Various	Daily liquid	0.01%	56,964.45	56,964.45		0.01%
	Money Market	Various	Daily liquid	0.00%	0.05	0.05		0.00%

SUMMARY OF CASH WITH FISCAL AGENT  
CURRENT QUARTER  
03/31/2021

	Date of Purchase	Maturity Date	Coupon	Cost	Market Value	Investment Agreement	Rate
Money Market	Various	Daily liquid	0.01%	20,484.37	20,484.37		0.01%
Money Market	Various	Daily liquid	0.00%	1,168.08	1,168.08		0.01%
Total				452,712.55	452,712.55	0.00	
<b>CFD 1999-1</b> <u>2010 Gateway Center</u>							
Money Market	Various	Daily liquid	0.01%	166,026.07	166,026.07		0.01%
Money Market	Various	Daily liquid	0.01%	16,841.77	16,841.77		0.01%
Money Market - Reserve Fund	Various	Daily liquid	0.01%	1,296,975.00	1,296,975.00		0.01%
Total				1,479,842.84	1,479,842.84	0.00	
<b>CFD 2004-1</b> <u>2005 Fusion at South Bay</u>							
Money Market	Various	Daily liquid	0.01%	0.15	0.15		0.00%
Money Market	Various	Daily liquid	0.01%	8.37	8.37		0.00%
Money Market - Reserve Fund	Various	Daily liquid	0.01%	276,761.28	276,761.28		0.01%
Total				276,769.80	276,769.80	0.00	
<b>CFD 2020</b> <u>2020 360 Degrees at South Bay</u>							
Money Market	Various	Daily liquid	0.00%	3.71	3.71		0.00%
Money Market	Various	Daily liquid	0.00%	0.88	0.88		0.00%
Money Market	Various	Daily liquid	3.40%	25,000.10	25,000.10		3.40%
Money Market	Various	Daily liquid	0.00%	0.05	0.05		0.00%
Total				25,004.74	25,004.74	0.00	
<b>Total</b>				<b>2,785,230</b>	<b>2,785,230</b>	<b>727,125</b>	

WELLS FARGO BANK - MOGUL PARTNERS  
CURRENT QUARTER  
3/31/21

	Cost Value	Market Value	Unrealized Gain/Loss	Accrued Income	Net Interest Collected	Ending Market Value	Estimated Yield
Wells Fargo Bank - Money Market	70,791.76	70,791.76	0.00	0.44	0.49	70,791.76	
Total Cash Investments	70,792	70,792	0	0	0	70,792	0.00%